

Import and Export Requirements for Scientific Specimens

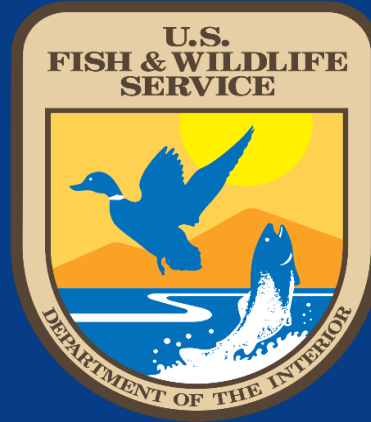
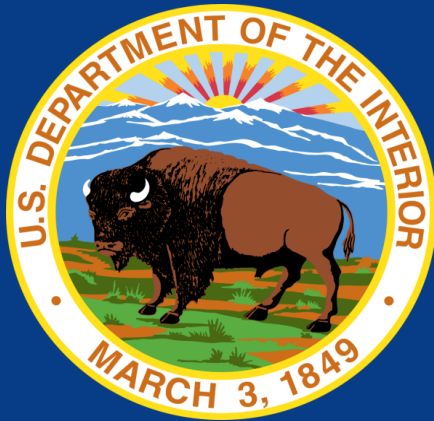


Richard Colburn
Wildlife Inspector
U.S. Fish and Wildlife Service



DETROIT FIELD OFFICE

IMPORTATION
OF BIOLOGICALS
WORKSHOP

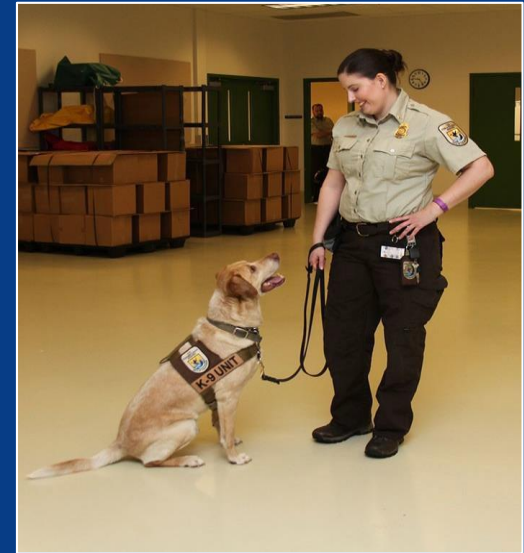


U.S. FISH AND WILDLIFE SERVICE

IMPORT AND EXPORT REQUIREMENTS FOR SCIENTIFIC SPECIMENS

FWS OFFICE OF LAW ENFORCEMENT

- Break up international smuggling rings that target protected wildlife
- Preventing the unlawful commercial exploitation of protected wildlife
- Inspecting wildlife shipments to ensure compliance with laws and treaties
- Training other federal, state, tribal, and foreign law enforcement officers
- Distributing information to increase public understanding of wildlife conservation and promote compliance with wildlife protection laws



DEFINITIONS

Accredited Scientist: Any individual associated with, employed by, or under contract by an accredited scientific institution for the purpose of conducting biological or medical research, and whose research activities are approved and sponsored by the scientific institution granting accreditation.

Accredited Scientific Institutions: Any public museum, public zoological park, accredited institution of higher education which conducts biological or medical research.

https://www.cites.org/eng/common/req/e_si.html



WILDLIFE PRODUCTS

Any animal, or any part, product, including, but not limited to;

- Tissue
- Egg
- Fur
- DNA
- Scale
- Skin
- Feather



DOMESTICATED ANIMALS NOT REGULATED BY FWS

Include, but are not limited to: cats, dogs, pigs, horses, cattle, goats, llamas, lab mice, sheep, pigeons. **50 CFR 14.4**



NON WILDLIFE PRODUCTS

Fossils



Extinct Species



Wildlife Byproducts:

- Snake Venom
- Urine
- Fecal Matter
- **Stricter National Measures**



WHAT IS A “PROTECTED SPECIES?”

Protected species are species that require permits under Federal law or international treaty.

Examples include:

- Federally listed endangered or threatened species
- Migratory birds
- Marine mammals
- Injurious wildlife
- Wildlife protected under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)



CERTIFICATE OF SCIENTIFIC EXCHANGE (COSE)

- A COSE authorizes the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their country, of preserved, dried or embedded specimens, which includes a label issued or approved by a Management Authority.
- If the specimens are protected by other U.S. laws and/or treaties, such as the ESA, MMPA, MBTA, you are required to obtain additional authorizations prior to import of those specimens.
- CITES permits are needed for any protected specimens collected in the field.
- A COSE cannot be used with specimens collected by researchers unless such specimens are accessioned into the collection of a registered facility. For the import or export of specimens that are not part of a scientific institution collection, have not yet been collected, or accessioned into a collection, you will need to submit Form 3-200-37.

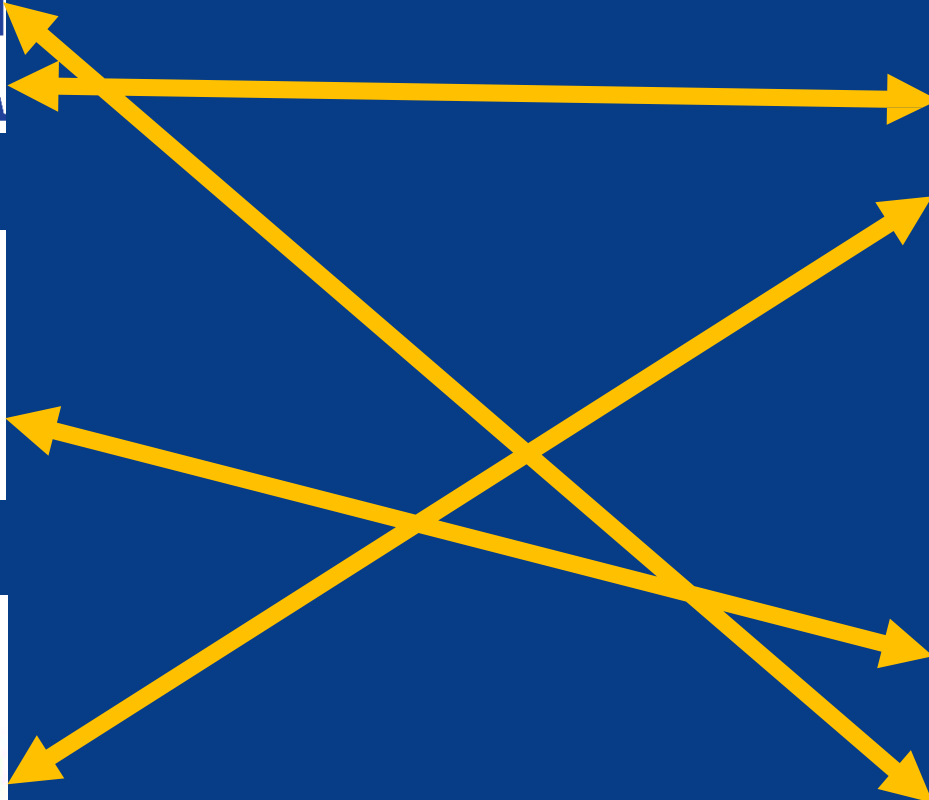
[**https://www.fws.gov/forms/3-200-39.pdf**](https://www.fws.gov/forms/3-200-39.pdf)

COSE APPLICATION

[**https://www.fws.gov/forms/3-200-37.pdf**](https://www.fws.gov/forms/3-200-37.pdf)

CITES APPLICATION

COSE TRANSFER/LOAN/DONATION



CITES + COLLECTED FROM THE FIELD = CITES PERMIT



FORM 5393A (1/97) 		CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		<input checked="" type="checkbox"/> EXPORT PERMIT <input type="checkbox"/> RE-EXPORT CERTIFICATE <input type="checkbox"/> OTHER CERTIFICATE (see block 13)		Page 1 of 1 1. Original Permit/Certificate No. 18US99672C/9 2. Valid until 01/17/2019							
3. Permittee (name and address, country) W GINSENG INC. 901 MAIN STREET MARATHON, WI 54448 U.S.A.				W GINSENG CITE EXPORT PERMIT FOR WILD AMERICAN GINSENG				4. Consignee (name and address, country)					
5. Special Conditions MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG. EXPORT MUST BE THROUGH A USDA DESIGNATED PORT. PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT. AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 5 YEARS OF AGE OR OLDER HARVESTED IN THE 2017 SEASON.				6a. Purpose of Transaction T 6. U.S. Management Authority Department of the Interior U.S. FISH AND WILDLIFE SERVICE DIVISION OF MANAGEMENT AUTHORITY BRANCH OF PERMITS, MS: (A) 5275 LEESBURG PIKE FALLS CHURCH VA 22041-3803									
For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.				7/18/2018 Issuing Date United States Management Authority AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)				7/18/2018 Issuing Date United States Management Authority AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)					
7/8. Common Name and Scientific name (genus and species) of Animal or Plant A. Common Name AMERICAN GINSENG Scientific Name PANAX QUINQUEFOLIUS		9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live) 9. EXPORT- 2017 HARVEST SEASON, WILD WHOLE ROOTS; AUTHORIZED UNDER MASTER FILE # 98308C		10. Appendix No. and Source 10. 2 W 11. Quantity (including units) 11a. Total Exported/Quota		12. Country of Origin U.S.A. 12a. Country of Last Re-export		Permit/Certificate No. 18US99672C/9 Re-export Certificate No.					
12. Country of Origin U.S.A. 12a. Country of Last Re-export		Permit/Certificate No. 18US99672C/9 Re-export Certificate No.		Date of Issue 7/18/2018 Date of Issue		12b. Breeding Operation No. 12c. Pre-Convention: Date of Acquisition							
B. Common Name [Redacted] Scientific Name [Redacted]		9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live) [Redacted]		10. Appendix No. and Source [Redacted] 11. Quantity (including units) [Redacted] 11a. Total Exported/Quota		12. Country of Origin [Redacted] 12a. Country of Last Re-export		Permit/Certificate No. [Redacted] Re-export Certificate No.					
12. Country of Origin [Redacted] 12a. Country of Last Re-export		Permit/Certificate No. [Redacted] Re-export Certificate No.		Date of Issue [Redacted] Date of Issue		12b. Breeding Operation No. 12c. Pre-Convention: Date of Acquisition							
13. Export / Re-export Endorsement: The official who inspects shipment upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block.		14. Bill of Lading / Air Way-Bill Number Port of Exportation / Re-exportation Total No. of Shipping Containers		15. This document valid only with inspecting official's ORIGINAL stamp, signature and date in this block.									
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">See Block 7</th> <th style="width: 50%;">Quantity</th> </tr> <tr> <td>A</td> <td></td> </tr> <tr> <td>B</td> <td></td> </tr> </table>		See Block 7	Quantity	A		B		Port of Exportation / Re-exportation Total No. of Shipping Containers		Inspecting Official's Stamp, Signature and Date			
See Block 7	Quantity												
A													
B													

INVASIVE SPECIES 50 CFR 16.22 IF LIVE

**MAMMALS, BIRDS, LIVE EGGS, AMPHIBIANS, REPTILES AND AQUATIC SPECIES
DECLARE PARTS/PRODUCTS**



DECLARATIONS 3-177

- All wildlife must be declared at your first port of entry.
- If the specimen is unknown, it can be declared in general terms and an amended 3-177 will be required within 180 days.
- The declaration must identify specimens to the most accurate taxonomic classification reasonably practicable.

50 CFR 14.24 Dead, preserved, dried, or embedded scientific specimens, may be imported or exported at any customs port or through the mail by accredited scientists or accredited scientific institutions (14.4) for taxonomic or systematic research purposes, provided the wildlife does not require a permit under 50 CFR Part 16 (injurious species) Part 17 (ESA) Part 18 (MMPA) or Part 21 (MBTA), or Part 23 (CITES).

Not live + Not protected = Any customs port

DECLARATIONS 3-177

- Commercial
- Personal
- Instructions page
- Place outside of shipping container

USFWS Form 3-177
Revised (10/10)
OMB No. 1018-0012
Expiration Date: 06/30/2019

**U.S. FISH AND WILDLIFE SERVICE
DECLARATION FOR IMPORTATION
OR EXPORTATION OF
FISH OR WILDLIFE**

1. Date of Import/Export: (mm/dd/yyyy) _____

2. Import/Export License Number: _____

3. Indicate One: ☐ Import ☐ Export

4. Port of Clearance: _____

5. Purpose Code: _____

6. Customs Document Number (s): _____

7. Name of Carrier: _____

8. Air Waybill or Bill of Lading Number: _____
Master: _____
House: _____

9. Transportation Code: _____
Tractor # _____ Date of Receipt: _____

10. Bonded Location for Inspection: _____

11. Number of Cartons Containing Wildlife: _____

12. Markings on Cartons Containing Wildlife: _____

13a. (Indicate One) (Complete name/U.S. address/telephone number/e-mail address)
☐ U.S. Importer
☐ U.S. Exporter

14a. (Indicate One) (Complete name/foreign address/telephone number/e-mail address)
☐ Foreign Importer
☐ Foreign Exporter

14b. Country Code: _____

13b. Identifier Number: _____ ID Type: _____

14c. Identifier Number: _____ ID Type: _____

15a. Customs Broker, Shipping Agent or Freight Forwarder:
(Complete business name/address/telephone and fax number/e-mail address)

15b. Identifier Number: _____ ID Type: _____

15c. Contact Name: _____

Species Code (Optional Use Only)	16a. Scientific Name 16b. Common Name	17a. Foreign CITES Permit Number 17b. U.S. CITES Permit Number	18a. Description Code 18b. Source Code	19a. Quantity/Unit 19b. Total Monetary Value	20. Country of Species Origin Code (ISO Code)	21. Voucher Live Wildlife Indicator (L) (Check if Yes)
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

22. I certify under penalty of perjury that the information furnished is true and correct:

Signature: _____ Date: _____

Type or Print Name: _____

For Official Use Only:
Active Commission: _____

Wildlife Declared: Yes / No

Wildlife Inspected: None / Partial / Full

See Reverse Side of this Form for Privacy Act Notice

<https://www.fws.gov/Le/declaration-form-3-177.html>

ELECTRONIC DECLARATIONS

1. Go to: www.fws.gov
2. Importing/Exporting
3. Click “eDecs”
4. Create New Account
5. Submit declaration electronically
 - FWS is aware of your shipment immediately
 - Eliminate paper declaration
 - Upload supporting documentation



YOU AND YOUR REBEL FRIENDS

**WILL RUN A PAPERLESS
OFFICE**

DESIGNATED PORTS 50 CFR 14.12

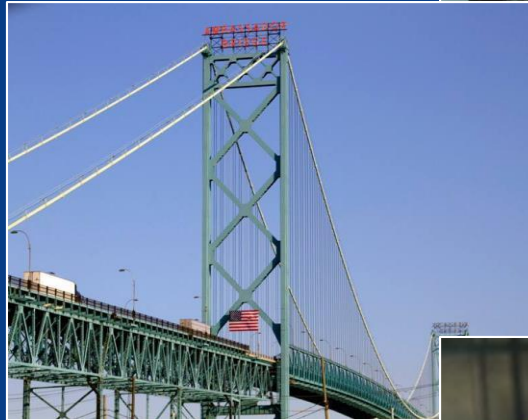
- Anchorage, Alaska
- Atlanta, Georgia
- Chicago, Illinois
- Los Angeles, California
- Louisville, Kentucky
- Memphis, Tennessee
- Miami, Florida
- New Orleans, Louisiana
- New York, New York
- Portland, Oregon
- San Francisco, California

Larger Ports, 48 hours notice, more staff, flexible hours,
no DPEP required



NON-DESIGNATED PORTS

- Blaine, Washington
- Buffalo, New York
- Derby Line, Vermont
- Detroit, Michigan
- Minneapolis, Minnesota
- Port Huron, Michigan
- Tampa, Florida



Smaller Ports, 72 hour notice, less staff



DESIGNATED EXCEPTION PERMIT

<https://www.fws.gov/forms/3-200-2>

- Select criteria (Scientific Purposes)
- Multiple Ports of Entry
- Number of shipments
- Animal Description
- \$100 Application Fee
- Renewable
- Expires after two years
- Required if specimen in live or protected



EXPRESS CONSIGNMENT CARRIERS

FedEx: Memphis, TN 901-544-3694



DHL: Erlanger, KY 859-817-8299



UPS: Louisville, KY 502-582-5989



International Mail: Depends



PENALTIES

Violations may result in the seizure and forfeiture of the wildlife, monetary penalties or both.



KNOW BEFORE YOU GO

- Secure a trusted shipper
- Determine port of entry/exit
- Contact Wildlife Inspection Office
- Determine if you need any permits



Contact Information

Wildlife Inspector Richard Colburn

734-247-6800

richard_colburn@fws.gov

www.cites.org

www.fws.gov



To Report a Wildlife Crime:

Email: fws_tips@fws.gov

Call: 1- 844-397-8477



Intermission

Thank you for attending “Import and Export Requirements
for Scientific Specimens”

We’ll be right back

Our next presentation, “**CDC Restricted Items**”
will begin at 11:15 a.m. EDT.



DETROIT FIELD OFFICE
**IMPORTATION
OF BIOLOGICALS**
WORKSHOP